



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 057898-0107

Applicants: Danne BUCHANAN, et al.  
Title: METHODS AND SYSTEMS FOR PROCESSING  
FINANCIAL INSTRUMENT DEPOSITS  
Appl. No.: 10/622,832  
Filing Date: 07/21/2003  
Examiner: Unassigned  
Art Unit: 3624  
Confirmation 4784  
Number:

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed document is being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

September 7, 2006

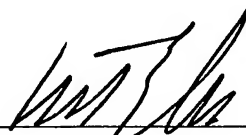
Date

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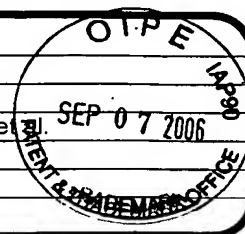
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| Substitute for form 1449B/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>Date Submitted: September 7, 2006<br><i>(use as many sheets as necessary)</i> |  |                        |  | <b>Complete if Known</b> |  |
| Sheet 1 of 1   |  | Application Number     |  | 10/622,832               |  |
|  |  | Filing Date            |  | 07/21/2003               |  |
|  |  | First Named Inventor   |  | Danne BUCHANAN et al.    |  |
|  |  | Group Art Unit         |  | 3624                     |  |
|  |  | Examiner Name          |  | Unassigned               |  |
|  |  | Attorney Docket Number |  | 057898-0107              |  |



| U.S. PATENT DOCUMENTS |                       |                      |                                   |   |   |   |
|-----------------------|-----------------------|----------------------|-----------------------------------|---|---|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | Number               | Kind Code <sup>2</sup> (if known) |   |   |   |
|                       | C1                    | 6,289,322            |                                   | KITCHEN et al.                                  | 09-11-2001  |   |
|                       |                       |                      |                                   |   |   |   |
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| UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS |                       |                                  |                                   |   |   |   |
|---|-----------------------|----------------------------------|-----------------------------------|---|---|---|
| Examiner Initials*                            | Cite No. <sup>1</sup> | U.S. Patent Application Document |                                   | Name of Patentee or Applicant of Cited Document | Filing Date of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS |                       |                         |                     |                                   |  |   |   |                |
|--------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |  |   |   |                |
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| NON PATENT LITERATURE DOCUMENTS |                       |  |                |
|---------------------------------|-----------------------|--|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
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|--------------------|-----------------|
| Examiner Signature | Date Considered |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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